

**REMARKS**

Claims 10-27 are pending in this application. In view of the foregoing amendments and following remarks, reconsideration and allowance are respectfully requested.

**I. Rejection under 35 U.S.C. §103**

The Office Action rejects claims 10-27 as having been obvious over U.S. Patent No. 6,334,896 to Iida et al. ("Iida") in view of U.S. Patent No. 5,685,907 to Fujikawa et al. ("Fujikawa"). However, one of ordinary skill in the art would not have been motivated to combine the teachings of Iida and Fujikawa. Moreover, even if the teachings of Iida and Fujikawa were combined, it would have been impossible to arrive at the claimed invention. Therefore, the rejection is respectfully traversed.

Independent claim 10 requires producing a single crystal by the Czochralski ("CZ") method, wherein "when a pulling rate of pulling a single crystal is defined as  $V$  (mm/min), a temperature gradient at a solid-liquid interface is defined as  $G$  (K/mm) and *a highest temperature at an interface between a crucible and a raw material melt is defined as  $T_{max}$  (°C), at least, a range of a value of  $V/G$  (mm<sup>2</sup>/K • min) including a desired defect region and/or a desired defect-free region is determined according to the  $T_{max}$  (°C), and the single crystal is pulled with controlling a value of  $V/G$  (mm<sup>2</sup>/K • min) within the determined range*" (emphasis added). This is also described in the specification at, for example, page 8, lines 8-18. However, none of Iida and Fujikawa, alone or in combination, teach or suggest at least this feature of the claimed invention.

**A. There Was No Motivation to Combine the Teachings of Iida and Fujikawa**

The Office Action, on page 4, alleges that the "manufacturing method of a single crystal by [the] Czochralski method and [the] vertical gradient freeze (VGF) method have comparable technical functions." However, Applicants respectfully submit that the two

methods as disclosed by Iida and Fujikawa do not have comparable technical functions, and thus, one of ordinary skill in the art would not have been motivated to combine the teachings of the two references.

Fujikawa describes a method for producing a compound single crystal by the Vertical Gradient Freeze ("VGF") method, wherein "ring-shaped heaters in multiple stages provided in a furnace are so controlled as to form a temperature distribution in the furnace which ranges, with lowering position in the furnace, from a high temperature to a low temperature through the melting point of the compound used. By shifting the melting point zone in the temperature distribution upward from a lower position to a higher position, a source material melt in a crucible placed inside the heaters is gradually solidified from its bottom portion in contact with a seed crystal to obtain a single crystal grown." See column 1, lines 31-41.

On the other hand, the present application and Iida describe a method for producing a silicon crystal by the CZ method, as follows: A polycrystalline material is put in a quartz crucible and the crucible is heated by a graphite heater to melt the polycrystalline material in the quartz crucible. A seed crystal fixed by a seed holder connected with a lower end of a wire is immersed into the raw material melt melted from the polycrystalline material. Thereafter, the single crystal having a desired diameter and quality is grown under the seed crystal by rotating and pulling the seed crystal. After bringing the seed crystal into contact with the raw material melt, a process called "necking" is performed, by forming a neck portion by narrowing the diameter to about 3 mm. A dislocation-free crystal is then pulled by spreading to a desired diameter. See page 3, lines 4-20 of the present specification.

As described above, because the CZ method is completely different from the VGF method, the two methods do not belong to the same technical field. For example, because in VGF method, a single crystal is not pulled, the VGF method lacks the parameters of: 1) a pulling rate  $V$  of a single crystal (mm/min) in the CZ method or 2) the value of  $V/G/(mm^2/k \cdot$

min). Accordingly, one of ordinary skill in the art practicing the CZ method according to Iida, seeking to improve the single crystal production as a function of both pulling rate and temperature gradient, would not have been motivated to turn to the teachings of Fujikawa, in order to arrive at the invention of the present claims.

**B. Iida and Fujikawa Do Not Teach or Suggest the Claimed Invention**

Even if the cited references were improperly combined, the combination still would not have rendered obvious the claimed invention.

At most, Iida describes a method for producing a silicon single crystal by the CZ method, wherein the "crystal is pulled with such conditions as present in a region defined by a boundary between a V-rich region and an N-region and a boundary between an N-region and an I-rich region in a defect distribution chart showing defect distribution which is plotted with D [mm] as abscissa and  $F/G$  [ $\text{mm}^2/\text{°C} \cdot \text{min}$ ] as ordinate, wherein D represents a distance between center of the crystal and periphery of the crystal, F [mm/min] represents a pulling rate and G [ $\text{°C}/\text{mm}$ ] represents an average temperature gradient along the crystal pulling axis direction in the temperature range of from the melting point of silicon to  $1400\text{°C}$ , and time required for crystal temperature to pass through the temperature region of from  $900\text{°C}$  to  $600\text{°C}$  is controlled to be 700 minutes or shorter." See column 2, line 64 to column 3, line 14.

The Office Action, on page 3, alleges that Fujikawa, in column 10, lines 35-42 describes "a highest temperature at an interface between a crucible and a raw material melt... defined as  $T_{\text{max}}$  ( $\text{°C}$ ).". However, Applicants respectfully submit that this is an inaccurate characterization of Fujikawa's disclosure.

Instead, Fujikawa describes in column 10, lines 35-42, that if a single crystal is subjected to a large temperature gradient, it is likely that a transformation, which may be turned into a defect by thermal stress, as well as variation in composition, will result. See

column 10, lines 35-42. Thus, the "temperature" described in this portion of Fujikawa refers to the temperature distribution in the portion wherein the source material melt is contacted with the seed crystal in the process of gradually solidifying the source material melt from its portion in contact with the seed crystal and growing the single crystal. See column 10, lines 27-29. Therefore, Fujikawa does not teach or suggest the highest temperature at an interface between a crucible and a raw material melt being defined as  $T_{max}$  ( $^{\circ}\text{C}$ ), as the Office Action alleges.

Because the teaching of Fujikawa lacks both: 1) the parameter of a pulling rate  $V$  of a single crystal (mm/min) used in the CZ method, and 2) controlling the value of  $V/G$  ( $\text{mm}^2/\text{K} \cdot \text{min}$ ), neither Iida nor Fujikawa, alone or in combination, teach or suggest a highest temperature at an interface between a crucible and a raw material melt being defined as  $T_{max}$  ( $^{\circ}\text{C}$ ), at least, a range value of  $V/G$  ( $\text{mm}^2/\text{K} \cdot \text{min}$ ) including a desired defect region, and/or a desired defect-free region being determined according to the  $T_{max}$  ( $^{\circ}\text{C}$ ), as required by claim 10.

The references neither disclose the above limitations, nor any benefits that would be provided by the use thereof. For at least this reason, any combination of the cited references would not have rendered obvious the claimed invention.

**C. Conclusion**

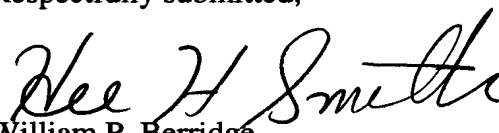
For at least these reasons, claim 10 and the claims dependent therefore would not have been obvious to one of ordinary skill in the art. Accordingly, reconsideration and withdrawal of the rejections are respectfully requested.

**II. Conclusion**

In view of the foregoing, it is respectfully submitted that this application is in condition for allowance. Favorable reconsideration and prompt allowance of the application are earnestly solicited.

Should the Examiner believe that anything further would be desirable in order to place this application in even better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number set forth below.

Respectfully submitted,

  
William P. Berridge  
Registration No. 30,024

Hee H. Smith  
Registration No. 57,631

WPB:HHS/kxs

Date: December 17, 2007

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 320850**  
**Alexandria, Virginia 22320-4850**  
**Telephone: (703) 836-6400**

<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
---